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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/705,316         | 11/03/2000          | David R. Battiste     | 33938US                |

## FORMALITIES LETTER



\*OC000000005683918\*

Richmond Hitchcock Fish & Dollar  
P O Box 2443  
Bartlesville, OK 74005

Date Mailed: 01/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/12/2001 JADD01 00000089 501629 09705316

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 09/705,316         | 11/03/2000  | 1764         | 872           | 33938US          |          | 29         | 3          |

## FILING RECEIPT

Richmond Hitchcock Fish & Dollar  
P O Box 2443  
Bartlesville, OK 74005



\*OC00000005683917\*

Date Mailed: 01/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David R. Battiste, [Residence Not Provided] Bartlesville, OK;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 01/17/2001

## Title

Improved [Monitoring] and control of [oligomerization] processes for making 1-hexene  
monitoring

## Preliminary Class

585

Data entry by : BROWN, ROSCOE

Team : OIPE

Date: 01/17/2001





33938US  
Serial No. 09/705,316

SECTOR  
\$ (3)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: David R. Battiste

Serial No.: 09/705,316

Group Art Unit: 1764

Filed: November 3, 2000

Examiner: Not Assigned

For: IMPROVED MONITORING AND CONTROL  
OF PROCESSES FOR MAKING 1-HEXENE

**SUBMISSION OF MISSING PARTS OF APPLICATION**  
**AND**  
**REQUEST FOR CORRECTED FILING RECEIPT**

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, a copy of which is attached, applicants submit the accompanying Declaration.

It is respectfully requested that the paper be entered into the record.

In addition, a comparison of the Filing Fee Receipt for the above-identified application with our file copy of the application shows the discrepancies marked in red on the attached copy of the receipt.

Since the error is believed to be material and attributable to the Patent and Trademark Office, a corrected receipt is respectfully requested.

The Commissioner is hereby authorized to charge the appropriate surcharge under 37 C.F.R. 1.16(e) and any additional fees which may be required under 37 C.F.R. 1.16 or 37

C.F.R. 1.17, or credit any overpayment, to Deposit Account 50-1629, but is not authorized to charge any fee provided for under 37 C.F.R. 1.18.

Respectfully submitted,

By Reece A. Scott  
Reece A. Scott  
Registration No. 41,297

Chevron Phillips Chemical Company LP  
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C E R T I F I C A T E   O F   M A I L I N G

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington,

D.C. 20231, on March 5, 2001.

Reece A. Scott  
Reece A. Scott